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I. General provisions

§ 1 Purpose of the study and examination regulations

- (1) This study and examination regulations specify the admission requirements and the programme for doctoral students completing their doctoral research in the context of the doctoral study programmes of the Faculty of Humanities.
- (2) Doctoral students in the Faculty of Humanities carry out their doctoral studies within the framework of these regulations. The relevant Doctoral Committee decides on possible exceptions. Possible exceptions may be justified by a professional activity, a distant place of residence or other exceptional circumstances that make it unreasonably difficult to carry out doctoral studies within the framework of these study and examination regulations.

§ 2 Admission requirements

- (1) Only applicants are admitted to doctoral study programmes who
 - a) fulfil the general requirements as per § 3 and the respective subject-specific requirements of the Doctoral Regulations,
 - b) document the approval of a supervisor in the relevant department for their doctoral project and
 - c) submit an exposé (5 – 10 pages) on their doctoral project.
- (2) If there are more applicants than positions available, admission will be based on a selection process governed by admission regulations.
- (3) These admission requirements also apply to staff of the departments and in externally-funded projects.
- (4) The chair of the relevant Doctoral Committee formally confirms the applicant's admission to the doctoral study programme.
- (5) The relevant Doctoral Committee decides on the recognition of coursework completed before admission and corresponding creditability for ECTS credits for the doctoral study programme.

§ 3 Standard period of study and required ECTS credits

- (1) Doctoral study programmes have a standard period of study of 6 semesters. During this period, the doctoral thesis and the oral doctoral examination, too, have to be completed.
- (2) To successfully complete a doctoral study programme, 180 ECTS credit points (cr) must be earned. The doctoral thesis is worth 120 cr (including the preparation of concepts and reports, see § 4). Coursework during the doctoral study programme (see § 5) and the oral doctoral examination (see § 8) are worth 60 cr.

§ 4 Supervision and reporting obligation

- (1) Each doctoral student is continuously supervised by at least two persons with a postdoctoral qualification (Habilitation) or equal status (junior professors or junior research group leaders), of which at least one is a professor. Upon admission, doctoral students will be assigned at least one further supervisor at their suggestion and in consultation with the supervisor who approved the relevant candidate. At the request of the doctoral student, the other supervisors may also be from another department or another university. In the case of joint doctoral study programmes of different subjects, the supervisors have equal rights and obligations and have to be from at least two different subjects.
- (2) By the end of the first year of studies, doctoral students submit a detailed thesis concept (10 – 20 pages including a work plan), which the supervisors assess as passed or failed. If at least one supervisor assesses the thesis concept as "fail", a revised concept may be submitted within two months. If all supervisors assess the revised concept as "fail", admission to the doctoral study programme will expire. If the supervisors' assessments differ, the corresponding Doctoral Committee decides whether admission can continue.
- (3) At the end of the second year of studies, doctoral students submit a 5-page interim report on the status of the thesis, on which the supervisors issue a statement.
- (4) Concepts and reports of the doctoral students as well as assessments and statements of the supervisors have to be submitted to the relevant Doctoral Committee.
- (5) The deadlines specified in paragraphs 2 and 3 will be extended correspondingly upon request in case of documented circumstances such as maternity protection periods (in line with the applicable law on the protection of working mothers), parental leave (in line with the applicable law on granting parental leave (Elternzeit) and parental leave allowance (Elterngeld)), illness or other extraordinary circumstances. The relevant Doctoral Committee decides on the duration of the extension.

§ 5 Coursework

- (1) The type of coursework and corresponding ECTS credits are governed by the subject-specific regulations in these examination and study regulations.
- (2) Documentation has to be provided for all coursework.
- (3) Coursework may be completed in different doctoral subjects. The relevant Doctoral Committee decides on their recognition.
- (4) If coursework completed at other universities or at other faculties of the University of Konstanz is equivalent to coursework as per these study and examination regulations, it may be recognized for the doctoral study programme. Such decisions are taken by the relevant Doctoral Committee.

§ 6 Language of the doctoral study programme

The course and examination languages of the doctoral study programme are German and English. With the approval of the supervisors and examiners, other course and examination languages may also be accepted.

§ 7 Commencement of the doctoral examination process

The application for the commencement of the doctoral examination process as per § 6 of the Doctoral Regulations of the University of Konstanz may only be submitted after completion of the doctoral thesis and documentation of the coursework as per § 4 para. 2 and 3 as well as § 5.

§ 8 Oral examination

The doctoral study programme is completed with an oral examination. In line with the respective subject-specific regulations, the oral examination is either a thesis defence (see § 12 of the University of Konstanz's Doctoral Regulations, General Provisions) or an extended colloquium on the doctoral thesis and one or several hypotheses (see § 13 of the University of Konstanz's Doctoral Regulations, General Provisions). The subject-specific provisions determine the corresponding ECTS credit points. Setting a date, conducting the examination, any retakes as well as grading is governed by the general regulations for oral doctoral examinations as per the University of Konstanz's Doctoral Regulations.

§ 9 Overall grade of the doctoral study programme

The provisions of the University of Konstanz's Doctoral Regulations apply to the calculation of the overall grade. The overall grade consists of the assessment of the doctoral thesis and the oral examination. Other coursework as per § 5 is not included in the overall grade.

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§ 10 Certification of coursework

Upon successful completion of the doctoral study programme, students receive a doctoral certificate from the University of Konstanz as well as a certificate confirming that they have successfully completed a doctoral study programme. The doctoral certificate states that the student completed a doctoral study programme.

§ 11 Other provisions

Otherwise, the current provisions of the Doctoral Regulations apply.