

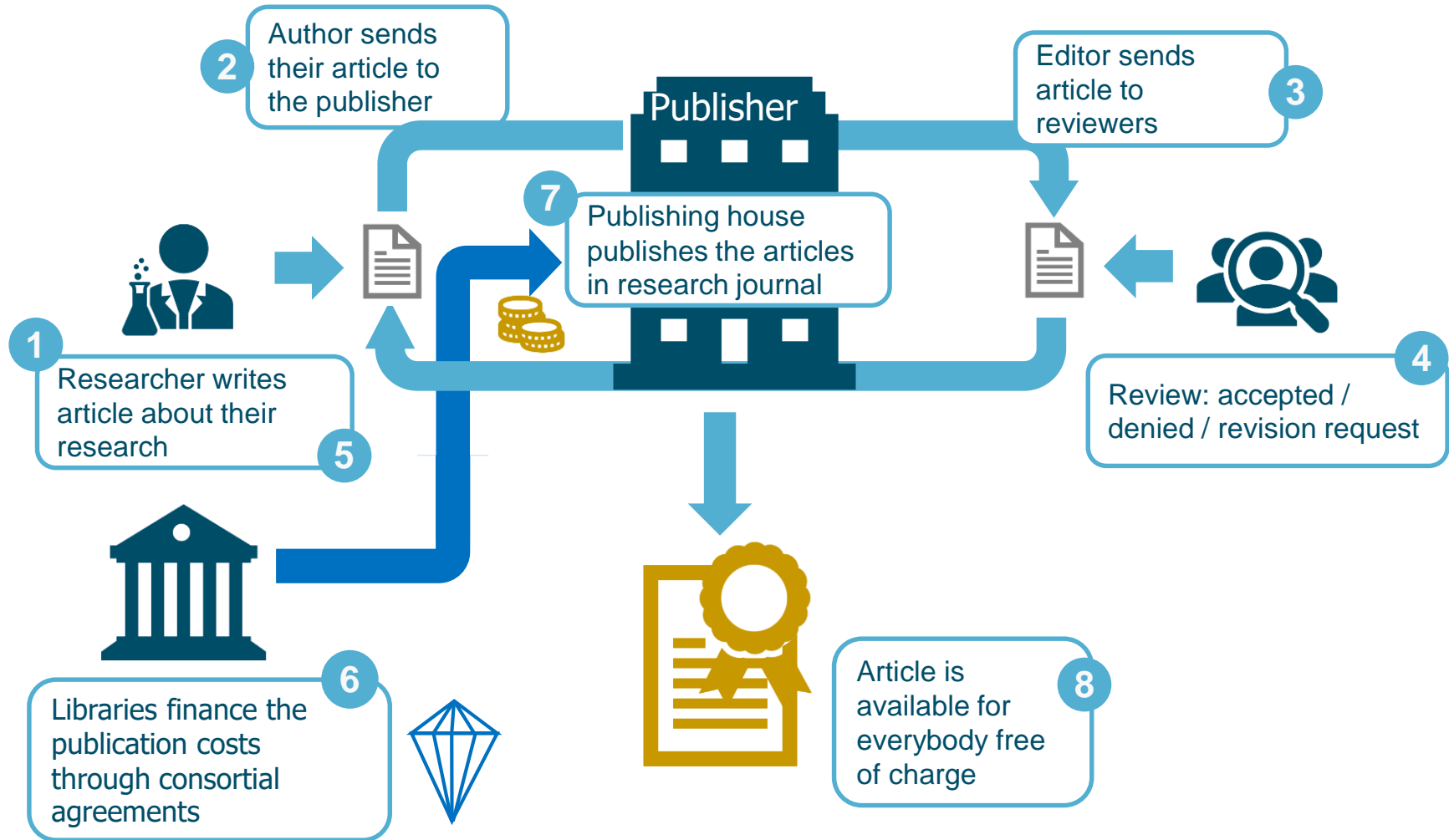
Open Access Consortia

Financing models for diamond open access journals

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Scientific Publishing: Diamond Open Access



Consortial financing models for open access

Financing models for diamond open access:

(Consortial) financing model: business model that

- seeks to transform to cost-free reading and publishing
- allows publishing without articles or book processing charges (APC/BPC) for authors

Diamond open access = APC/BPC-free

Not necessarily (but often) scholar-led, community-based, non-commercial

- **There are different financing models:**

- Crowdfunding-models: Knowledge Unlatched
- Membership models: OLH, Opening the Future (COPIM)
- Consortial models: group of institutions agrees on the funding of certain publications e.g. field, platform, individual journals: SCOAP 3, SciPost, OACIP, KOALA

Consortial financing models for open access publications



Crowdfunding



membership

KOALA

Konsortiale Open-Access-Lösungen aufbauen



Consortial financing models for open access publications

Membership
based models:



Open Library of Humanities

UK-based platform hosting OA-**journals** humanities

Universities pay membership fee → finances the journals

+: Comprehensive approach including software solution and support

-: only for already established journals, scaling up is difficult



Open book Collective

UK-based platform to connect libraries and book publishers

Universities pay membership fees → lower/no BPCs

→ Financing OA-books/publishers



Opening the Future

Universities pay membership fees → publisher realises open-access books → Financing OA-books/publishers

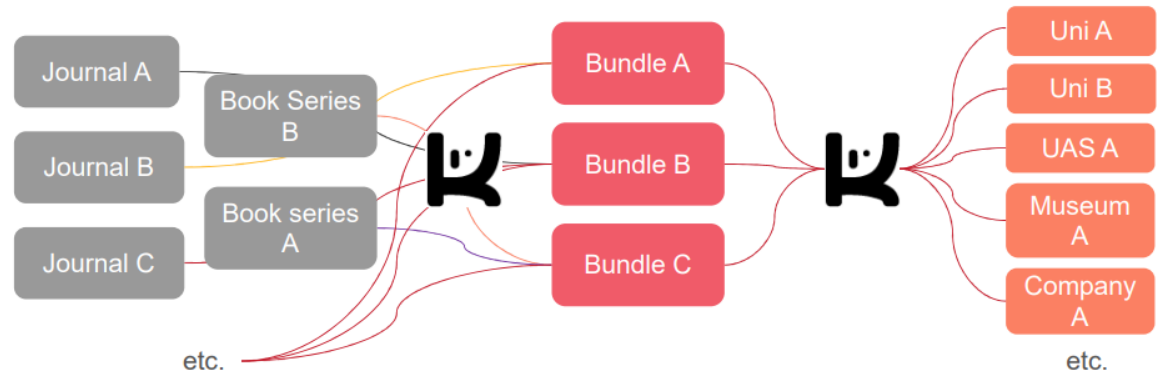
Consortial financing models for open access publications

Community-based crowdfunding consortia:

KOALA

Konsortiale Open-Access-Lösungen aufbauen

KOALA has been developed in a cooperative project of TIB Hannover and Konstanz University



Libraries are asked to pledge for publication bundles (journals, book series)

Financing either succeeds (3years) or fails

+: community-support, easy to adopt and flexible model

-: need for institution that provides administration



Open Access Community Investment Programm: US-based model to finance open-access journals, organised by LYRASIS, individual journals

Consortial financing models for open access publications

Fee-based
infrastructure-
model

Sci|Post

Publishing platform with own journals,
(physics, chemistry, astronomy, political science)

Independence from publishers

Open peer review

Financed through fees/donations from the institutions
where authors are based

+: Independence, high metadata standard, open peer
review

-: financial sustainability, payments based on past
publications results in difficulty to grow

Consortial financing models for open access publications

Disciplinary consortia in
Particle Physics:



<https://scoap3.org/>

Organised by CERN

Unites more than 3000 libraries, research institutions,
etc.

Finances journals and text books in HEP

Fee is based on national share of published articles/year
+: large and established consortia that manages to open
a whole discipline, extension to text books, growth
bonus

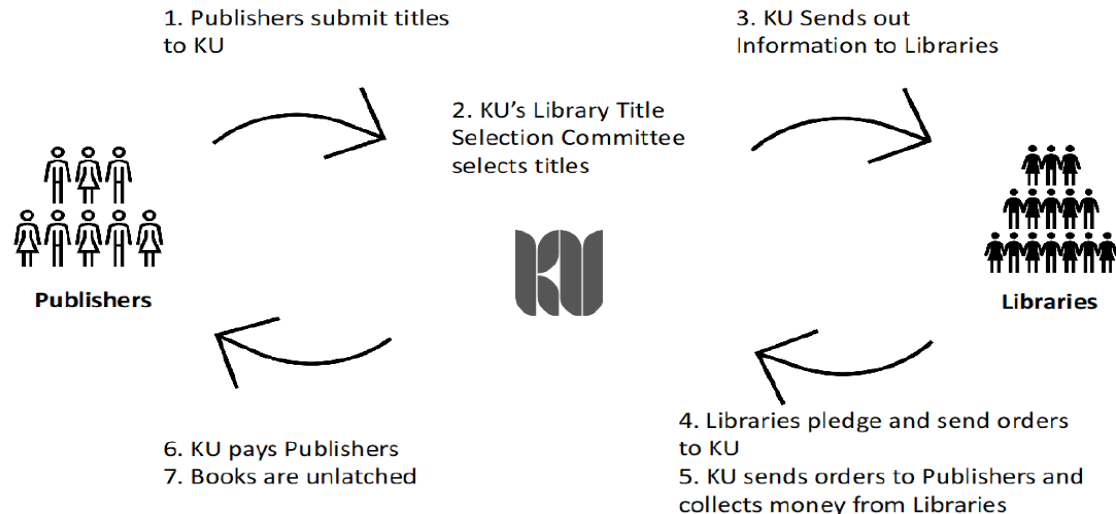
-: relatively large administrative body, risk that some
participants don't pay is imminent, fees may not be paid
due to budget cuts etc.

Consortial financing models for open access publications

Crowdfunding model:

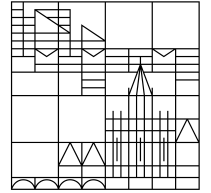


Knowledge unlatched: organises crowdfunding calls for book/journal packages
+: international model, works on larger scale using established distribution channels
-: bundles are in part intransparent or unattractive to libraries, former community-based model now part of Wiley



Consortial financing models for open access publications

- Many innovative approaches how to organise open-access publishing without APC/BPC
- Allow for sustainable open-access-financing without (high) APC/BPC
- Take back control over scientific publishing from (big) publishers – at least this is the case, if it is community-based
- Re-establish direct connections between libraries and journals/book publishers
- Operate with new workflows and create new administrative entities: they have to be established against the odds of traditional practices
- Necessary aspect of the open-access-transformation



**Thank you
very much!**

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